



Fund Subscription and Fund Redemption Form 基金認購及贖回表格

Client Name 客戶姓名		Client Account No. 客戶帳戶號碼			
		Client Risk Profile 客戶風險取向			
ORDER DETAILS 訂單詳情					
<input type="checkbox"/> Fund Subscription 基金認購			<input type="checkbox"/> Fund Redemption 基金贖回		
Product Risk Level 客戶風險取向	Fund Name 基金名稱	Share Class 股份類別	Amount 金額	Fees 費用	Total Amount (Fees Included) 總金額 (包括費用)
IMPORTANT NOTE 重要事項					
<p>a) The client is strongly recommended to read relevant offering documents before completing and/or signing this "Fund Subscription and Fund Redemption Form". 強烈建議客戶在填寫及/或簽署本「基金認購及贖回表格」前，應先閱讀相關銷售文件。</p> <p>b) The prices of shares may go up or down, and may become valueless. Funds investing in specific industry sectors/geographical location involve higher risks than funds with a more diverse portfolio of investments. Investing in hedge funds involves several special risks that are not typically found in public funds. 基金股份價格可升或可跌，甚至變得毫無價值。投資於某特定行業/地理位置的基金可能比投資於組合多元化的基金涉及更高風險。投資於對沖基金涉及許多特殊風險，該些風險通常不常見於公募基金。</p> <p>c) Goldhorse Securities Limited ("GHSL") may receive monetary benefits (such as trailer fees) (if any), from the fund company arising from the above fund subscription. For more details, please refer to relevant warning statement. 金馬證券有限公司(「金馬證券」)有可能基於上述基金認購從基金公司收取金錢收益(例如後續費用)(如有)。如需更多資料，請參閱相關警告聲明。</p>					
CLIENT DECLARATION AND SIGNATURE 客戶聲明及簽署					
<p>1. I/We hereby confirm that I/we have received, read and understood the most up-to-dated version of relevant offering documents and subscription agreement relating to fund(s) subscribed to ("Documents"). I/We agree to be bound by the terms and conditions of these Documents. 本人/吾等特此確認，本人/吾等已收到、閱讀並明白所認購基金相關的最新版本銷售文件和認購協議(「文件」)。本人/吾等同意接受該等文件的條款及細則約束。</p> <p>2. I/We understand and agree that the value of the investment(s) may go up and down. By instructing GHSL to subscribe the above fund(s), I/we prepare to accept all of the risks. I/We agree that GHSL shall have no liability to me/us for any loss suffered as a result of the performance of above fund(s). 本人/吾等明白並同意投資價值可升或可跌。透過指示金馬證券認購上述基金，本人/吾等準備承擔所有風險。本人/吾等同意因上述基金表現而導致的任何損失，金馬證券概不須承擔任何責任。</p> <p>3. I/We irrevocably instruct and authorize GHSL to do all such acts and things as it may consider necessary or desirable to give effect to the fund subscription or fund redemption and sign any document in relation thereto. 本人/吾等不可撤銷地指示並授權金馬證券採取其認為必要或可取的一切行為和事項，以落實基金認購或基金贖回，並簽署與此相關的任何文件。</p> <p>4. I/We consent to the rights reserved by GHSL in rejecting this fund subscription or fund redemption. I/We agree that GHSL shall not be liable for any losses or expenses incurred in relation to any of such rejection. 本人/吾等同意金馬證券保留拒絕上述基金認購或基金贖回的權利。本人/吾等同意金馬證券不須對任何此類拒收而產生的任何損失或費用負責。</p> <p>5. I/We understand that GHSL is acting as "Agent" to the above fund subscription or fund redemption. 本人/吾等明白金馬證券在上述的基金認購或基金贖回中擔任一位「代理人」。</p>					
Client Signature 客戶簽署		S.V.		Date 日期	
For Internal Use 只供內部使用					
Handled by Dealing Department	Name	CE No.	Signature	Date	
Check and Approved by Responsible Officer	Name		Signature	Date	