



Goldhorse Securities Limited
金馬證券有限公司
Participants of The Stock Exchange of Hong Kong Limited
香港聯合交易所有限公司參與者
SFC C.E. No. 證監會中央編號: BFU406

Unit 4308, 43/F, COSCO Tower
183 Queen's Road Central, Hong Kong
香港皇后大道中183號中遠大廈43樓4308室
Tel 電話: (852) 2153 3838
Fax傳真: (852) 3974 5275
E-mail電郵: cs@goldhorse.com.hk

股票交收指示表格 Securities Settlement Instruction

日期 Date: _____

客戶號碼 A/C No: _____

客戶名稱 A/C Name: _____

本人/吾等特此通知貴公司 I/We hereby instruct your Company to:

☐ 將下列股票存入本人/吾等之證券戶口

RECEIVE the following securities for my/our securities account

☐ 由本人/吾等之證券戶口提取下列股票

DELIVER the following securities for my/our securities account

請在適當空格加上✓號 Please put a tick in the appropriate box

| | | | |
|--|---|--|---|
| <input type="checkbox"/> 香港市場 HK Market* | <input type="checkbox"/> 投資產品 Investment Product* | <input type="checkbox"/> 美國市場 U.S. Market* | <input type="checkbox"/> 海外市場 Foreign Market* |
| 交收對手名稱及戶口號碼 Name of Counterparty & A/C No: | | | |
| 聯絡人姓名 Contact Person: | | 電話 Telephone No./電郵 Email: | |
| 交收對手/投資者中央結算代號: Counterparty / CCASS No.: | | 交收日期: Settlement Date: | |
| ClearStream / Euroclear / CMU No: | | 美國結算編號 US DTC No: | |

| 國際證券號碼/股票編號 ISIN Code/ Stock Code | 產品/股票名稱 Name of Product/Stock | 數量/股數 Quantity/Number of Shares | 交收方式 Settlement Method | 備註/成本價 Remarks/Cost Price |
|--------------------------------------|-------------------------------------|---------------------------------------|--|------------------------------|
| | | | <input type="checkbox"/> 毋須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount: | |
| | | | <input type="checkbox"/> 毋須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount: | |
| | | | <input type="checkbox"/> 毋須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount: | |
| | | | <input type="checkbox"/> 毋須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount: | |
| | | | <input type="checkbox"/> 毋須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount: | |

1. 本人(吾等)同意是次轉倉指示並不導致上述產品/股票實益擁有權改變 I/We hereby consent that there is no change in beneficiary ownership involved in this settlement instruction.

2. 本人(吾等)確認是次轉倉指示一切開支於本人(吾等)上述賬戶中扣取。I/We confirm to debit any costs due to this settlement instruction in my/ our account as described above.

S.V.

客戶簽署(公司客戶請加蓋公司蓋章)

Client Signature (Company chop affixed for Corporate Client)

****注意 Important Note****: 如欲即日完成轉倉程序請於當日上午 11:00 前提交此轉倉指示予我司; 實際完成轉倉日期以閣下結單記錄為準。Please send us this form before 11:00 am on the settlement date if you request for same day settlement. Exact settlement date please refer to the statement.

| 本公司專用 For Office Use Only | | | |
|---------------------------|-----------------|---------------------------|-------------------------------------|
| AE / CS | Settlement Dept | Risk Dept (if applicable) | Responsible Officer (if applicable) |
| | | | |